

Flood Resilience in Ulaanbaatar Ger Areas
Climate Change Adaptation
through community-driven small-scale protective and basic-services interventions

CALL FOR PROPOSAL

Ref. No. : AOC/CFP/MON/2019/005

Subject: UN-Habitat ROAP Call for Proposal for Mongolia FRUGA – To conduct community consultations, workshops and trainings

The United Nations Human Settlements Programme (UN-Habitat) Regional Office for Asia and the Pacific (ROAP) hereby solicits your proposal for the above subject, in accordance with this document and annexes attached.

This Call for Proposal (CFP) consists of this document and the following annexes:

1. CFP Instructions and Guidelines
2. Technical Proposal Template
3. Budget Proposal Template
4. Partner Declaration Form
5. Sample Agreement of Cooperation

A complete set of Technical and Budget Proposals, Signed Partner Declaration Form, including all the required documents listed in the CFP Instructions and Guidelines must be received by UN-Habitat ROAP no later than **24 April 2019** to the following address via mail or email:

UN-Habitat Regional Office for Asia and the Pacific ACROS Fukuoka, 8th Floor
1-1-1 Tenjin Chuo-ku, Fukuoka 810-0001 Japan
Email: habitat.fukuoka@un.org

Please indicate the CFP Ref. No. above in your email subject.

Proposals must be submitted in English language and UN-Habitat reserves the right to reject any proposals which are not received by UN-Habitat ROAP by the above-stated deadline.

UN-Habitat will enter into an Agreement of Cooperation (AOC) with the selected Implementing Partner, a sample of which is attached for your reference.

For queries on this CFP, please contact Mr. Laxman Perera, Human Settlements Officer, at Email: laxman.perera@un.org.

Download all templates and forms from [here](#)

CALL FOR PROPOSAL

The purpose of the Call for Expression of Interest is to solicit interest from existing or prospective Implementing Partners that wish to participate in UN-Habitat operation and contribute complementary resources (human resources, knowledge, funds, in-kind contributions, supplies and/or equipment) to achieving common objectives as outlined below and subsequently agreed in an Agreement of Cooperation

Call for Proposal for Mongolia FRUGA - To conduct community consultations, workshops, trainings

Size of grant: maximum US\$18,000

Purpose of CFP: To conduct community consultations, workshops, trainings

Submission Start Date: 11 April 2019, Thursday

Submission Deadline Date and time: 24 April 2019, Wednesday, 2359hrs, Japan time (GMT+9)

Project Key Information

Project Key Information

- UN-Habitat Project title: *Flood Resilience in Ulaanbaatar Ger-Areas (FRUGA) - Climate Change Adaptation through community-driven small-scale protective and basic services interventions*
- Locations
 - o Town/City: Ulaanbaatar
 - o Country: Mongolia
- Anticipated start date: 1 May 2019
- Estimated duration of project in calendar months: 2.5 months
- Lead Organization Unit: UN-Habitat

Brief Background of the Project

Organizational Setting

The United Nations Human Settlements Programme, UN-Habitat, is the United Nations agency for human settlements. It is mandated by the UN General Assembly to promote socially and environmentally sustainable communities, towns and cities with the goal of providing adequate shelter for all. By working at all levels and with all relevant stakeholders and partners, UN-Habitat contributes to linking policy development and capacity-building activities with a view to promoting cohesive and mutually reinforcing social, economic and environmental policies and programmes in human settlements in conformity with international practices and covenants.

UN-Habitat has been collaborating with the Government of Mongolia, the Ministry of Construction and urban Development (MCUD) and the Municipality of Ulaanbaatar (MUB) on strategies and demonstration projects for slum upgrading, and human settlements development since 2005. The agency assisted the municipality in formulating a community-based ger-area upgrading strategy, developing action plans and demonstrated a community-led approach to ger-area upgrading in five ger-areas in Ulaanbaatar city with support from Japan. More recently UN-Habitat has been involved as a key partner on community engagement through *the People's Process* for the Urban Services and Ger Area Development and Investment Programme as well as the Affordable Housing and Urban Renewal Programme of the Government of Mongolia, implemented with support from the Asian Development Bank.

Project Overview

In September 2018 UN-Habitat signed an agreement with the Adaptation Fund for Climate Change to implement the project on *Flood Resilience in Ulaanbaatar Ger-Areas (FRUGA) - Climate Change Adaptation through community-driven small-scale protective and basic services interventions* – in the seven most-vulnerable and high-risk ger-areas of Ulaanbaatar Mongolia.

The seven target Ger communities in Ulaanbaatar are characterized by a high exposure to multiple climate hazards ranging from wind and dust storms, air pollution, and particularly by floods - cited as the main climate issue that required addressing by the communities - during the rapid needs' assessment. Climate sensitivity is underpinned by rapid urbanization and population growth, leading to people residing in high-risk areas, in unsanitary conditions engaging in unhygienic behavior, which exacerbates public health risks. Underlying vulnerabilities are poverty, limited social ties, limited access to basic services, gender inequalities and environmental degradation. Moreover, the adaptive capacities at household, community and governance level are barriers for change as is the very limited knowledge and awareness of risks and their own vulnerability.

The main objective of the project is to **enhance the climate change resilience of the seven most vulnerable Ger khoroo settlements focusing on flooding¹ in Ulaanbaatar City** by:

1. Improving the knowledge on flood hazard and risk exposure and vulnerability for these areas
2. Improving the resilience and adaptive capacity of the Ger settlements through a Community-Based approach (i.e. building social cohesion per Khoroo)
3. Increasing resilience ger area physical infrastructure and services, supported by enhanced capacities of responsible district level and khoroo authorities.

¹As identified in the Flood Risk Assessment and Management Strategy of Ulaanbaatar City supported by the World Bank

4. Strengthened institutional capacity to reduce risks and capture and replicate lessons and good practices

The main component of the project will be the provision of flood resilient physical infrastructure and services, building on the priorities as communicated by the UB city authorities and Khoroo communities and evidence made available and supplemented with hazard and risk mapping and land use planning and delivered within the framework of enhanced capacities and awareness for resilience and risk reduction at Ger -district and community level.

Project Components and Outputs

Project Components	Expected Concrete Outputs
Component 1 National/City Level Producing hazard and risk information / evidence for increasing resilience and developing land use plans to increase this resilience at UB City level.	Output 1.1 One (1) Ulaanbaatar northern Ger-Area Territorial Land Use Plan , with zoning, legal framework recommendations and a specific focus on flood risk reduction - building on 1.2
	Output 1.2. Simulation model for forecasting future impacts of climate change flooding in UB city & Ger-areas established
	Output 1.3 Seven (7) Detailed Ger-khoroo level Land Use Plans with specific focus on flood risk reduction and building resilience of the most vulnerable areas and people
Component 2 Khoroo/Community level Participative planning and capacity development for flood resilience in Ger-areas at the district / khoroo and community level (including activities to operate and maintain - and mitigate any potential risks related to - the interventions under component 3).	Output 2.1 Seven (7) Khoroo-level floods resilience action plans to implement the interventions under component 3; A series of District, Khoroo and community level consultations / workshops introducing the People's Process and Community Based Disaster Risk Reduction approach, focused on building social cohesion and consensus on community level implementation of interventions under component 3.
	Output 2.2 Khoroo community level interventions operation & maintenance and awareness campaigns and trainings to support the sustainable implementation of interventions under component 3.
	Output 2.3 Technical studies – Engineering and hydrological - required to implement the interventions under component 3.
Component 3 Enhance resilience of community level flood protection assets	Output 3.1. Physical assets developed or strengthened in response to climate change related flood impacts as prioritized (by Khoroo's drainage and sanitation) – implemented through community contracting
	Output 3.2 Management & operations; design & supervision of assets / physical infrastructure – procured as consulting services

<p>Component 4</p> <p>Awareness raising, knowledge management and communication</p>	<p>Output 4.1 Lessons learned and best practices regarding flood-resilient urban community development are generated, captured and distributed to other Districts and khoroo communities, civil society, and policy-makers in government appropriate mechanisms.</p> <p>Output 4.2 Workshops and trainings will be organized targeting city- and district government officials with a focus on replication of processes, land use plans and interventions and to discuss how lessons can be integrated into existing strategies and plans.</p>
--	--

Main activities and outputs

Under the TOR the Implementing Partner is envisaged to be responsible for the following activities (but not limited to):

Implementing Partner's Responsibilities

1. Delivery of Output 2.1 of FRUGA project
 - a. Conduct Community needs assessment workshops for flood resilience building at the 7 target khoros
 - b. Conduct a series of community level consultations for community mobilization and organization using the UN-Habitat's People's Process approach.
 - c. Conduct 7 workshops for **flood resilience community action planning** focused on Community Based Disaster Risk Reduction approach and building social cohesion and consensus on community level implementation of interventions under component 3
2. Start the training and awareness building activities under Output 2.2 of FRUGA project
 - a. Organize trainings to support the implementation of interventions under component 3.
3. Organization of a technical consultation workshop under Output 3.2 of FRUGA project with interested parties and beneficiary communities on household sanitation solutions in flood prone areas

Responsibilities of UN-Habitat

- Closely guide, review and monitor the implementation activities as per the milestones in the workplan provided by the Implementing Partner
- Provide technical guidance, coordination and support as necessary

Deliverables

1. Documentation of community consultations
2. Documentation of Community Action Planning Workshops
3. Number of community groups formed
4. 7 Khoroo Flood Resilience Community action plans
5. Documentation of the trainings
6. Proceeding Report of the technical consultation workshop with interested parties and beneficiary communities on household sanitation solutions in flood prone areas

Environmental and social risks and impacts management requirements

Output	Potential risk areas	Preventive measure	Monitoring arrangements	
			Indicator and methods	Frequency and responsibility
<p>Output 2.1. Seven (7) Khoroo-level floods resilience action plans to implement the interventions under component 3;</p> <p>Output 2.2. Khoroo community level interventions operation & maintenance and awareness campaigns and trainings to support the sustainable implementation of interventions under component 3.</p> <p>Output 3.2. Management & operations; design & supervision of assets / physical infrastructure – procured as consulting services</p>	<p>Risk that different groups are not equally involved in planning processes (equal access, vulnerable groups, gender considerations)</p> <p>Non-consideration of / compliance to the AF ESP when developing land use plans, including:</p> <ul style="list-style-type: none"> • Human rights • ILO standards • Avoid forced resettlement • Identify risks to biodiversity, natural habitats • Identify risks to heritage sites • Identify vulnerable soils and lands 	<p>Communities will be organized, and quotas will be used to ensure different groups are included / represented. For government workshops and trainings, gender quotas will apply.</p> <p>Include standard clauses requiring the compliance with the AF principles in AoC and contracts + screening the plans for compliance with the 15 safeguard areas, including ILO standards, human rights, etc.</p> <p>Identify vulnerable soils, landscapes, biodiversity, natural habitats, heritage sites.</p>	<p>Meeting attendance sheets with quota numbers and photographs</p> <p>Check (list) to assess compliance to safeguard areas</p>	<p>Before and after plans</p> <p>Local project manager</p>

Payment Schedule

The total value to be contracted to executing Partner through a partnership agreement will be a maximum of US\$ 18,000 with following 3 instalments.

1 st Installment	50% of Funds	Within 30 working days upon signing the AOC and submission of payment request
2 nd Installment	40% of Funds	Within 30 working days upon submission of the deliverables 1, 2 and 3 and an expenditure report showing at least 65% of utilisation of the first instalment and submission of payment request
3 rd Installment	10% of Funds	Within 30 working days upon submission of a final report along with all expected deliverables, financial statement